

03-14-00

PTO/SB/05 MODIFIED BY AT&T COR

A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

1127

Total Pages

First Named Inventor or Application Identifier

John H. Norris et al.

Express Mail Label No.

EL098220906 US

03/13/00
09/523604
US PTO
Jc712

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(submit on original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 35]
(preferred arrangement set forth below)

Descriptive title of invention
Cross References to Related Applications
Statement Regarding Fed sponsored R&D
Reference to Microfiche Appendix
Background of the Invention
Brief Summary of the Invention
Brief Description of the Drawings(if filed)
Detailed Description
Claim(s)
Abstract of the Disclosure

3. ☒ Drawing(s)(35 USC 113) [Total Sheets 14]

4. Oath or Declaration [Total Pages 3]

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 15 completed)
[Note Box 15 below]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Other:

15. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No: 08/997018

Prior application information: Examiner:

Group/Art Unit: 2731

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

16. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME	Samuel H. Dworesky				
ADDRESS	AT&T CORP. P.O. Box 4110				
CITY	Middletown	STATE	New Jersey	ZIP CODE	07748-4110
COUNTRY	United States of America			FAX	732-368-6932

17. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Michele L. Conover	Reg. #	34962
TELEPHONE	908-221-5773		
SIGNATURE	<i>Michele Conover</i>	DATE	3/13/00

"Express Mail" Mailing Label Number

Date of Deposit

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C., 20231

John H. Norris
(Printed Name of Person Mailing Paper)

John H. Norris
(Signature of Person Mailing Paper)

FEE TRANSMITTAL

Patent Fees are subject to annual revision.

Complete if Known

Application Number	08/997018 (PARENT)
Filing Date	12/23/1997 (PARENT)
First Named Inventor	John H. Norris et al.
Examiner Name	
Group/Art Unit	2731
Attorney Docket No.	112789

TOTAL AMOUNT OF PAYMENT	\$1,146
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09/523604
03/13/00
JUL 12 1997 PTO

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745
Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

FEE CALCULATION**1. FILING FEE**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
101	690	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	690
106	310	Design Filing Fee	
108	690	Reissue Filing Fee	
114	150	Provisional Filing Fee	

SUBTOTAL (1) 690**2. CLAIMS**

☒ Filing Under 37CFR 1.53 (b)
☐ CPA Under 37CFR 1.53 (d)
☐ Amendment

Extra Claims		Fee from below	Fee Paid
Total	41 - 20 =	21 X 18 =	378
Ind.	4 - 3 =	1 X 78 =	78
Multiple Dependent Claims			0

Large Fee Code	Entity Fee(\$)	Fee Description
103	18	Claims in excess of 20
102	78	Independent Claims in excess of 3
104	260	Multiple Dependent Claims
109	78	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) 456**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112*	920	Requesting publication of SIR prior to Examiner action	
113*	1840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	380	Extension for reply within second month	
117	870	Extension for reply within third month	
118	1360	Extension for reply within fourth month	
128	1850	Extension for reply within fifth month	
119	300	Notice of Appeal	
120	300	Filing a brief in support of an appeal	
121	260	Request for oral hearing	
138	1510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1210	Petition to revive - unintentional	
142	1210	Utility issue fee (or reissue)	
143	430	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	690	Filing a submission after final rejection(37 CFR 1.129(a))	
149	690	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) 0**SUBMITTED BY**

Typed or Printed Name Michele L. Conover

Complete (if applicable)

Reg. Number 34962

Signature

Michele Conover

Date

3/13/00

Deposit Account User ID

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231